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PATENT
Attorney Docket No. 3110

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Lucovsky et al

Group Art Unit: 2171

Serial No. 10/021,291

Examiner: Unassigned

Filed: October 22, 2001

For: Schema-Based Services for Identity
Based Access to Profile Data

Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Applicant hereby responds to the NOTICE TO FILE MISSING PARTS OF APPLICATION dated January 16, 2002. This application is on behalf of a large entity, and pursuant to 37 C.F.R. § 1.16(e), the surcharge for filing this Response is \$130.00.

Submitted with the response and surcharge is a filing fee in the amount of \$740.00, an Executed Declaration of inventors, a copy of the Notice to File Missing Parts of Application, a Request for Corrected Filing Receipt, and a Credit Card Payment form.

The total fee due is:

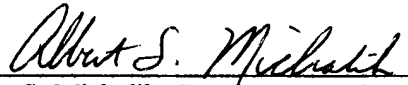
Basic Filing Fee	\$740.00
Surcharge	<u>\$130.00</u>
Total Fee Due:	\$870.00

☒ The Patent Office is hereby authorized to charge the credit card number on the attached Credit Card Payment form the sum of \$870.00.

In re Application of LUCOVSKY et al.
Serial No. 10/021,291

☒ The Patent Office is hereby authorized to charge any underpayments or credit any overpayments associated with this submission to Deposit Account No. 50-1618.

Respectfully submitted,



Albert S. Michalik, Reg. No. 37,395
Michalik & Wylie, PLLC
14645 Bel-Red Road
Suite # 103
Bellevue, WA 98007
(425) 653-3533 (telephone)
(425) 653-3603 (facsimile)

CERTIFICATE OF MAILING

I hereby certify that this response, credit card payment form, executed declaration of inventors, copy of the Notice to File Missing Parts, Request for Corrected Filing Receipt, and return postcard are being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: March 15, 2002



Albert S. Michalik

3110 Missing Parts Response



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RECEIPT
4 #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Lucovsky et al.

Group Art Unit: 2171

Serial No. 10/021,291

Examiner: Unassigned

Filed: October 22, 2001

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Based Access to Profile Data

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Attached is a copy of the official filing receipt received from the Patent Office in the above-identified application. Issuance of a corrected filing receipt is respectfully requested.

Applicants submit that there is an error with respect to the provided residence of both applicants on the filing receipt. Mark Lucovsky's residence is **Sammamish, WA** and Steven D. White's residence is **Bellevue, WA**. A copy of the filing receipt with this correction in red ink is enclosed herewith.

Respectfully submitted,

By Albert S. Michalik
Albert S. Michalik, Reg. No. 37,395
One of the Attorneys for Applicant(s)
14645 Bel-Red Road, Suite 103
Bellevue, WA 98007
(425) 653-3520



MAR 25 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

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 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/021,291	10/22/2001	Mark Lucovsky	3110

CONFIRMATION NO. 9349

FORMALITIES LETTER



OC00000007313821

 MICHALIK & WYLIE PLLC
 Suite 103
 14645 Bel-Red Road
 Bellevue, WA 98007

Date Mailed: 01/16/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/27/2002 MAHMED1 00000056 10021291

01 FC:101
02 FC:105740.00 OP
130.00 OP

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UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
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 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/021,291	10/22/2001	2171	0.00	3110	4	5	2

CONFIRMATION NO. 9349

FILING RECEIPT



OC00000007313820

 MICHALIK & WYLIE PLLC
 Suite 103
 14645 Bel-Red Road
 Bellevue, WA 98007

Date Mailed: 01/16/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

 Mark Lucovsky, ~~Residence Not Provided~~; Sammamish, WA
 Steven D. White, ~~Residence Not Provided~~; Bellevue, WA

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/275,809 03/14/2001

Foreign Applications

If Required, Foreign Filing License Granted 01/15/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Schema-based services for identity-based access to profile data

Preliminary Class

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